



## UNITED STATES PATENT AND TRADEMARK OFFICE

JUN 17 2002

PATENT

TRADEMARK

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILED/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/098,631	03/18/2002	Yukitoshi Marutani	925-227

CONFIRMATION NO. 3720

## FORMALITIES LETTER



\*OC000000007863306\*

NIXON & VANDERHYE P.C.  
 8th Floor  
 1100 North Glebe Road  
 Arlington, VA 22201

Date Mailed: 04/15/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 1000.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

06/20/2002 JBALINRM 00000001 10098631

01 FC:101	740.00 0P
02 FC:105	130.00 0P
03 FC:139	130.00 0P

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

SEARCHED INDEXED  
SERIALIZED FILED

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

MARUTANI et al.

Serial No. 10/098,631

Filed: March 18, 2002

For: POINT EMITTING LED AND CONDENSED POINT EMITTING LED

Attention: Application Branch

Atty. Dkt. 925-227



Date: June 17, 2002 (Monday)

Assistant Commissioner for Patents  
Washington, D.C. 20231

The attached completes filing of the above-identified patent application:

Signed Rule 63 Declaration alone,  Copy of Declaration from prior application alone, OR  
 Signed Declaration plus attached copy of originally filed specification/drawings.  
 **NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED** form.  
 Record the attached assignment and return to the undersigned.  
 Attached is a Power of Attorney.  
 Priority is hereby claimed under 35 U.S.C. § 119 based on the following foreign applications:

Application Number	Country	Day/Month/Year Filed
P 2001-080593	Japan	21 March 2001
P 2001-227386	Japan	27 July 2001
P 2002-070106	Japan	14 March 2002

respectively.

Certified copy(ies) of foreign application(s) is/are attached.  
 Certified copy(ies) filed on \_\_\_\_\_ in prior application no. \_\_\_\_\_, filed \_\_\_\_\_.  
 Applicant claims "small entity" status.  "Small entity" statement attached.  
 Please enter the attached and/or below preliminary amendment prior to calculation of filing fee.  
 Also attached:  Information Disclosure Statement;  Nucleotide and/or Amino Acid Sequence Submission;  Other:

**Fees due are calculated below:**

Basic filing fee	\$	740.00
Total Effective claims 11 - 20 = 0 x \$ 18.00	\$	0.00
Independent claims 3 - 3 = 0 x \$ 84.00	\$	0.00
Any proper multiple dependent claims now added for first time, add \$280.00 (ignore improper)	\$	0.00
<b>FILING FEE</b>	\$	740.00
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$400.00/2 months; \$920.00/3 months; \$1440.00/4 months)	\$	0.00
Surcharge (\$130.00) if Declaration or filing fee first now submitted	\$	130.00
English translation of specification and claims (\$130.00)	\$	130.00
<b>FIRST SUBTOTAL</b>	\$	1,000.00
If "small entity," enter half (½) of subtotal and subtract	\$	0.00
<b>SECOND SUBTOTAL</b>	\$	1,000.00
Assignment Recording Fee (\$40.00)	\$	40.00
<b>TOTAL FEE DUE</b>	\$	1,000.00
Check enclosed (Pre-Bill)*	\$	910.00
Check enclosed (non Pre-Bill)*	\$	130.00
<b>TOTAL FEE ENCLOSED</b>	\$	1040.00

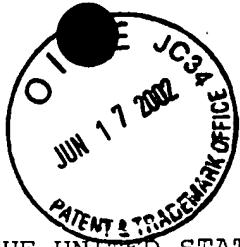
Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our **Account No. 14-1140**. A duplicate copy of this sheet is attached.

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USG:led

NIXON &amp; VANDERHYE P.C.

By Atty: Updeep S. Gill, Reg. No. 37,334

Signature:



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Yukitoshi MARUTANI et al. :  
Serial No. 10/098,631 : Attn: BOX MISSING PARTS  
Filed March 18, 2002 : Docket No. 925-227  
POINT EMISSION TYPE LIGHT :  
EMITTING ELEMENT AND :  
CONCENTRATING POINT EMISSION :  
TYPE LIGHT EMITTING ELEMENT

TRANSLATOR'S DECLARATION

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

I, Keiichi GONJO, declare:

that I am thoroughly familiar with both the Japanese and English languages;

that the attached document represents a true English translation of U.S. Patent Application Serial No. 10/098,631 filed March 18, 2002; and

that I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

June 12, 2002

DATE

TRANSLATOR'S SIGNATURE